



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/104,942	06/25/98	SPIEGEL	J 120087.404

0262/0727

MAURICE J PIRIO
SEED AND BERRY
701 FIFTH AVENUE
6300 COLUMBIA CENTER
SEATTLE WA 98104-7092

NOT ASSIGNED

2761

DATE MAILED:

07/27/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ large entity ☐ small entity (verified statement filed), is \$ 2574

☒ 1. The statutory basic filing fee is:

☐ missing.

☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1654, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

☐ missing.

☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

A. Lover
Customer Service Center
Initial Patent Examination Division (703) 308-1202

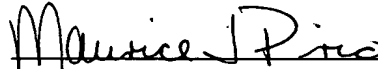


PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

September 2, 1998

Date


Maurice J. Pirio

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Joel R. Spiegel and Maryam Mohit
Application No. : 09/104,942
Filed : June 25, 1998
For : METHOD AND SYSTEM FOR ELECTRONIC COMMERCE
USING MULTIPLE ROLES

Docket No. : 120087.404

Date : September 2, 1998

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts dated July 27, 1998, please find enclosed a Declaration and Power of Attorney, Election, and Form PTO-1533 for the above-identified application.

Also enclosed is a General Authorization Under 37 C.F.R. § 1.136(a)(3).

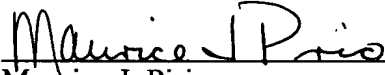
The fees have been calculated as follows:

Basic Fee	\$ 790
Total Claims (84, 64 extra)	1408
Independent Claims (6, 3 extra)	246
Missing Parts Surcharge	130
TOTAL	<u>\$ 2574</u>

Enclosed is a check in the amount of \$2574 for the requisite fees. The Assistant Commissioner is hereby authorized to charge any additional filing fees or to credit

any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,
Joel R. Spiegel and Maryam Mohit
SEED and BERRY LLP


Maurice J. Pirio
Registration No. 33,273

MJP:clr

Enclosures:

- Postcard
- Check for \$2574
- Copy of this Response
- Declaration and Power of Attorney
- Election
- Copy of Assignment
- Copy of Form PTO-1533
- General Authorization Under 37 C.F.R. § 1.136(a)(3)

6300 Columbia Center
701 Fifth Avenue
Seattle, Washington 98104-7092
(206) 622-4900
Fax: (206) 682-6031



SECTOR 4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Joel R. Spiegel and Maryam Mohit
Application No. : 09/104,942
Filed : June 25, 1998
For : METHOD AND SYSTEM FOR ELECTRONIC COMMERCE
USING MULTIPLE ROLES

Art Unit : 2761
Docket No. : 120087.404
Date : September 2, 1998

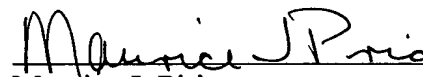
Assistant Commissioner for Patents
Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)

Sir:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.

Respectfully submitted,
Joel R. Spiegel and Maryam Mohit
SEED and BERRY LLP


Maurice J. Pirio
Registration No. 33,273

MJP:clr

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